REX LAW CHAMBERS WEBINAR CERTIFICATE COURSE SERIES- I

**“EMPLOYEE STOCK BENEFITS & OPTION PLANS: BUSINESS, LAW & POLICY”**

**SUNDAY, APRIL 12, 2020**

**ABOUT THE COURSE**

A company performs as well and efficient as its employees. Motivation of the morale of employees, keeps the spirit of the company alive, and its growth progressive. The more the employees are encouraged to work efficiently, better the position achieved by the company in the market. Stock options are one of the lucrative methods that contribute to retention of key-employees, by creating a sense of ownership and belongingness of the employees to the company.

Companies Act, 2013 paves way for various methods in which employee participation in ownership of the company. The gamut of restrictions for grant of stock benefits vary from a Start-Up Enterprise to a Listed Entity, depending upon the nature of stock benefits, viz. ESOPs, or Sweat Equity, or SARs or otherwise. The webinar will discuss the various regulatory provisions as may be applicable for every choice of alternate employee stock benefit sought to be issued.

**WHO MAY ATTEND?**

**Corporate Employees, Law Students, Members of Academia, Advocates, Company Secretaries, Chartered Accountants and other professionals, Researchers, etc. may attend the webinar as a Participant/Observer.**

**Observers will not be required to take up the online-based evaluation.**

**TOPICS TO BE COVERED**

The Webinar will be held on Sunday April 12, 2020 between 11:00 to 13:00.

Mezzanine Financing & Employee Stock Benefits

Regulatory Compliance for ESOPs,

Novel Methods of Stock options

Compliance under Companies Act, 2013; Regulations by FEMA/RBI/SEBI

**REGISTRATION**

# LIMITED REGISTRATION: 50 participants and 40 Observers on first-come-first serve basis.

# LAST DATE: April 9, 2020 at 23:59 Hrs.

**REGISTRATION FEE: INR 1,500/- per Participant**

**INR 1,000/- per Observer.**

Registration Fee provides the participant and observer a limited access right to view/participate in the live webinar, without including any right to make any copies or reproduction of any part or whole of the webinar.

A collated research material for internal circulation for pre-reading purposes would be circulated upon completion of registration.

***Participants shall be required to submit an online evaluation assignment on completion of the webinar.***

All prospective participant and observer are required to share a duly filled and scanned copy of the Registration Form (Appendix I) along with the proof of payment of the registration fee on or before April 9, 2020.

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| **MODE OF REMITTANCE OF FEES** |
|  Amazon.com: Mobile, DTH Recharge & Shopping: Appstore for AndroidGoogle Pay (Tez) - a simple and secure payment app - Apps on ...BHIM UPI Payments (Free Version) – WordPress plugin | WordPress ... |
| **Linked to Phone Number: +91-9686481506** |

# CODE OF CONDUCT FOR PARTICIPANTS

The Dial-In Link for Video-Conferencing will be shared with registered attendees on April 11, 2020. Registered Attendees are allowed to raise questions or clarifications at the end of the webinar, in the time that will be allotted for the same. Alternatively, an attendee may choose to write to the host on any queries that may arise thereon, in connection with the webinar.

# ABOUT THE HOST

Rex Law Chambers is a full-service Firm that caters to the requirements of various stakeholders including Individual Promoters, Companies, Listed and Unlisted, in handling their legal, accounting and secretarial issues, interests and concerns. We are a team of Legal and Accounting Minds that effectively assist in Litigation, Due Diligence (Corporate Law, Labor Law, Environmental Law, and Title-Ownership including Possessory Interests), Dispute Resolution, Transaction Management, Registration and management of Intellectual Property, Drafting and Vetting of Contracts including Shareholders Agreements, Share Subscription Agreements, and technical consultancy. Founded and headed by Rohith Kamath, Advocate & Associate Company Secretary, towards delivering quality and affordable legal, accounting and secretarial solutions for all.

# PROFILE OF THE SPEAKER

**Rohith Kamath** is an Advocate and Associate Company Secretary having specialization in Corporate Law, Start-Up Advisory and Private Equity Investment. He is also a consultant to a project funded by Ministry of Environment, Forests & Climate Change-Government of India. He specializes in Due Diligence Transaction Management, Intellectual Property, Contracts including Private Equity Documentation. Having completed his graduation in law from KLE Society’s Law College, Bengaluru in 2015, he went on to complete his Masters in Corporate Law from the University Law College, Bangalore University in 2016. He completed the Company Secretaries Course offered by the Institute of Company Secretaries of India, New Delhi in February, 2016.

He received the Scholarship for the 3rd China Law Society Training Base held at Kunming, Yunnan China, and was also invited to the Yunnan University to deliver guest lectures in October 2018. With a view to develop his passion for teaching, he has qualified NET and KSET, and is also pursuing his PH. D. at the National Law School of India University, Bengaluru. He has also authored numerous publications including the Book ‘Health Care in India’, a book published by Bloomsbury in 2016.

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**CONNECT WITH US ON** [**LINKEDIN**](https://www.linkedin.com/in/rohithkamath/)

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**REGISTRATION FORM**

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| **FULL NAME** | Click here to enter text. |
| **CONTACT DETAILS** | **E-Mail ID:** Click here to enter text.**Phone Number:** Click here to enter text. |
| **REGISTRATION****(Select any one category)** | [ ]  **PARTICIPANT along with payment of INR 1,500/- as Registration Fee**[ ]  **OBSERVER along with payment of INR 1,000/- as Registration Fee** |
| **Mode of Payment of Registration Fee** | **Mode of Payment:** Choose an item.**Unique Transaction Number:** Click here to enter text.**Date of Payment:** Click here to enter a date.**Time of Payment:** Click here to enter text. |

**Date:** Click here to enter a date.

**Place:** Click here to enter text.

**Duly filled copy to be sent via e-mail to** **rohithkamath@rexlawchambers.com** **on or before April 9, 2020**